Sacramento Housing and Redevelopment Agency

Attachment A –

Documents Due with Proposal

IFB No. 1973-DD

Under $10,000 Qualified Vendor List

Issued: December 4, 2019

Sacramento Housing and Redevelopment Agency
Procurement Services – 2nd Floor
801 12th Street
Sacramento, CA 95814

Buyer: Dina D. Dennis
Phone: (916) 440-1342
E-Mail: ddennis@shra.org
SECTION 00180 - QUALIFICATION STATEMENT

CONTACT INFORMATION

Firm Name: ________________________________  □ Corporation  □ Partnership  □ Sole Proprietor
   As it appears on license

Contact Person: ________________________________

Address: ________________________________

Phone Number: ________________________________

Email: ________________________________

If firm is a sole proprietor or partnership:

Owner(s) of Company: ________________________________

PART I.  ESSENTIAL REQUIREMENTS FOR QUALIFICATIONS

Contractor will be immediately disqualified if the answer to any of questions 1 – 4 is “yes.”

1. Has your contractor’s license been revoked at any time in the last five years?
   □ Yes  □ No

2. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was
default terminated by the project owner within the last five years?
   □ Yes  □ No

3. At the time of submitting this qualification form, is your firm ineligible to bid on or be awarded a public
   works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor
   Code section 1777.1 or Labor Code section 1777.7?
   □ Yes  □ No

4. At any time during the last five years, has your firm or any of its owners or officers been convicted of a
   crime involving the awarding of a contract of a government construction project, or the bidding or
   performance of a government contract?
   □ Yes  □ No

PART II.  ORGANIZATION, HISTORY, ORGANIZATIONAL PERFORMANCE, COMPLIANCE
WITH CIVIL AND CRIMINAL LAWS

LICENSES

1. List all California construction license numbers, classifications and expiration dates of the California
   Contractor Licenses held by your firm:

   ____________________________________  ____________________________________  ____________________________________

   ____________________________________  ____________________________________  ____________________________________
2. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years? (5 points)

☐ Yes  ☐ No

DISPUTES

3. At any time in the last five years has your firm been assessed and aid liquidated damages after completion of a project under a construction contract with either a public or private owner? (5 points)

☐ Yes  ☐ No

4. In the last five years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? (5 points)

☐ Yes  ☐ No

5. In the last five years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder? (5 points)

☐ Yes  ☐ No

6. At any time during the past five years, has any surety company made any payments on your firm’s behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm’s behalf, in connection with a construction project, either public or private? (5 points)

☐ Yes  ☐ No

COMPLIANCE WITH OCCUPATIONAL SAFETY AND HEALTH LAWS AND WITH OTHER LABOR LEGISLATION SAFETY

7. Has CAL OSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years? (5 points)

☐ Yes  ☐ No

If yes, number of times penalized: __________

8. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years? (5 points)

☐ Yes  ☐ No

9. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project (5 points)

________________________________________________________________________
PREVAILING WAGE COMPLIANCE

10. Has there been more than on occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm’s failure to comply with state or federal prevailing wage laws? (10 points)

NOTE: This question refers only to your own firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

☐ Yes ☐ No

THIS FORM MUST BE SUBMITTED WITH PROPOSAL
SECTION 00450 – LEAD-BASED PAINT CERTIFICATIONS

LEAD-BASED PAINT

Section 401(b) of the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. 4831(b)) prohibits use of lead-based paint in rehabilitated structures using Federal funds in any form (24 CRF Part 35, Sub-part B).

“Lead-based paint” as defined in Section 501(s) of the Act as amended by PL94-317 (42 U.S.C. 4801 et seq), the National Consumer Information and Health Promotion Act of 1986 means:

(1) Any paint containing more than five-tenths of one per centum lead by weight (calculated as lead metal) in the total nonvolatile content of the paint or the equivalent measure of lead in the dried film of paint already applied or both; or

(2) With respect to paint which is manufactured after June 22, 1977 lead-based means that any paint containing more than six one-hundredths of one per centum lead by weight (calculated as lead metal) in the total nonvolatile content of the paint or the equivalent measure of lead in the dried film of paint already applied.

I HEREBY CERTIFY THAT I WILL NOT USE LEAD-BASED PAINT ON THE STRUCTURE(S) LISTED ON THIS CONTRACT, AND I FURTHER ACKNOWLEDGE THAT I AM AWARE OF THE HAZARDS OF LEAD-BASED PAINT THAT MAY EXIST FROM PREVIOUSLY PAINTED SURFACES OF THE STRUCTURE(S).

Name of Contractor ________________________________

By ________________________________

Signature ________________________________

Title ________________________________

Date ________________________________

END OF SECTION

THIS FORM MUST BE SUMITTED WITH YOUR BID
SECTION 00630: SECTION 3 ECONOMIC OPPORTUNITY PLAN

Project: Under $10,000 Qualified Vendor List  Project #: 1973-DD  Date: _____________

Prime Contractor: __________________________________________

PART I:  Contractor  Subcontractor  Section 3 Business Concern  Yes  No

Firm Name: __________________________  Contact: __________________________
Phone Number: __________  Fax Number: __________  E-mail: __________________________
Address: __________________________________________
City: __________________________  State: _____  Zip Code: __________________________

Employment Opportunities

PART II: Contractors or subcontractors receiving federal funds are required to adhere to Executive Order 11246, by Section 3 of the HUD Act of 1968 (12 USC 1701u) and 24 CFR Part 135, the General Conditions of the Contract for Construction, and SHRA’s Section 3 Declaration of Understanding and Intent to Comply with Section 3 Requirements. If awarded a contract, it further agrees to comply with all such requirements, including without limitation, committing to an employment and training goal (Tier I) AND award a subcontract to Section 3 Business Concerns (Tier II) to the greatest extent feasible. The business entity further understands and agrees that these commitments will be included as obligations in any contract awarded and its failure to comply will be deemed a material default under the contract.

TIER I: HIRING COMMITMENT (goal of 30% or greater for new hires to be filled by Section 3 Residents)

<table>
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<tr>
<th>JOB CLASSIFICATION(S) NEEDED TO COMPLETE THE PROJECT</th>
<th>CURRENT WORKFORCE</th>
<th>REQUIRED WORKFORCE IF AWARDED CONTRACT</th>
<th>NEW HIRE COMMITMENT * # of New Hires that will be Section 3 Resident **</th>
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<td>(i.e. Administrative, Laborer, Electrical, Demolition, Asbestos Abatement, Technical, Management, Security)</td>
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Attach additional sheets if necessary

By making a commitment above to hire Section 3 Residents, the business entity understands and commits to following the Section 3 Resident Priority Selection by giving first priority to individuals residing in the SHRA development where the work is being performed.
*New Hire*: A new hire means a full-time employee for a new permanent, temporary or seasonal position that is created as a direct result of this project. Submit a New Hire Questionnaire for every new hire within one week (7 calendar days) of the hire.

**Section 3 Resident Priority Selection:**
1st Priority (P1): Individuals residing in the SHRA Development where the project is located and/or the Jobs Plus or Resident Services Program.
2nd Priority (P2): Individuals residing in other SHRA owned or managed developments/properties.
3rd Priority (P3): All other Section 3 eligible residents in Sacramento City/County.

**TIER II: SUBCONTRACTORS LIST** (goal of 10% or greater of contract amount for Construction Contracts and 3% or greater of contract amount for Non-Construction Contracts)

<table>
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<tr>
<th>SUBCONTRACTOR NAME AND CONTACT</th>
<th>TRADE</th>
<th>Section 3 Business? Yes or No</th>
<th>Subcontract Amount</th>
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PART III: OTHER EFFORTS THAT WILL BE MADE TO GENERATE ECONOMIC OPPORTUNITIES

Pursuant to 24 CFR § 135.40 and/or SHRA’s Section 3 Guide and Compliance Plan, please outline your plan to provide other economic opportunities to Section 3 Residents and Section 3 Business Concerns if you cannot satisfy Tier I and Tier II goals or desire to offer additional opportunities. Your plan should include quantifiable goals (i.e. specific number of individuals to be trained, enrolled in apprenticeship or other programs, mentored or hired as interns; dollar commitment, etc.). Examples may include training and apprenticeship programs, mentorship, internship. If you need more space, attach additional pages.

PART IV: NOTES & COMMENTS

Attach additional sheets if necessary.
PART V:  

**Notification of the Intent to Use Current Workforce**

☐ We do not anticipate hiring any construction workers or office staff during the contract period. However, should any positions become available we will notify SHRA by completing the upper section of this form; and, if we hire, by submitting a [New Hire Questionnaire](https://example.com)

I declare, under penalty of perjury, that the above is true and correct to the best of my knowledge.

______________________________
Contractor/Subcontractor Name

______________________________  ______________________________
Signature   Date

______________________________
Print Name   Title

Questions regarding the completion of this form can be submitted to section3@shra.org Revised 07-19-19

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THIS FORM TO BE SUBMITTED WITH BID BY PRIME CONTRACTOR WITH BID