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County of Sacramento

Sacramento Housing and Redevelopment Agency

Request for Proposals

46th Street Vacant Development Project

RFP # 1915-VK

Issued: March 14, 2019

Submittal Deadline

@11:00 AM – May 13, 2019

Sacramento Housing and Redevelopment Agency
Procurement Services – 2nd Floor
801 12th Street
Sacramento, CA 95814

Copies of this RFP may be obtained from:

Procurement Services
Sacramento Housing and Redevelopment Agency
801 12th Street
Sacramento, CA 95814
(916) 440-1378
ps@shra.org

or found on our website @ www.shra.org

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I. INTRODUCTION

The Sacramento Housing and Redevelopment Agency (Agency), on behalf of the Housing Authority of the County of Sacramento (HACOS), is soliciting for experienced Affordable Housing Developers to develop new affordable housing opportunities at the 46th Street vacant site.

This site is included in the disposition and development strategy that was approved by the Sacramento City Council and the Sacramento County Board of Supervisors. The objective of issuing this Request for Proposals (RFP) is to select a qualified development team to provide affordable housing opportunities. To be considered, the project proposal must provide the likelihood of success, responsiveness to adopted County of Sacramento and Agency policies, and a design that is compatible and complimentary with the adjacent surrounding neighborhood.

The mission of the Agency is to revitalize communities, provide affordable housing opportunities and to serve as the Housing Authority for the City and County of Sacramento. The Agency was created to ensure the ongoing development of affordable housing and to continuously fuel community redevelopment projects in the City and County of Sacramento. We meet these goals by creating safer neighborhoods and a more robust economy, so individuals, families and children in our community have the opportunity for a better life.

II. PRIORITIES AND OBJECTIVES

Qualified developers may submit proposals for this site and may combine this proposal with other sites that are under the developers' control (documentation of site control must be provided with application).

A qualified developer or builder must have experience in developing and financing housing and the capability to commit specific resources to:

- Prepare a residential design that complements the surrounding neighborhood.
- Provide a financing plan and evidence of organizational capability (funds for pre-development costs, equity, and sufficient financing for the project, both construction and permanent).
- Assemble a development team including an experienced general contractor, cost estimator, and architect.

In making a selection, the development team may be required to provide additional information to assist the Agency in making a final selection, and should be prepared to comply with such requests as quickly as possible.

The following is a list of Agency project objectives:

1. Provide affordable housing opportunities to low- and moderate-income residents.
2. Make use of underutilized lots by building quality housing.
3. Increase the supply of housing in Sacramento.
4. Dispose of vacant lots throughout the County of Sacramento.
5. Complement and enhance the existing style and character of the neighborhood through design.
6. Meet applicable County Design Guidelines.
7. Maximize private capital.
8. Achieve local participation, through development partners, contractors, or suppliers.
9. Engage with the community residents, neighborhood, and business associations.

Multifamily proposals submitted under this RFP are encouraged to leverage one or more of the following funding sources:

1. 9% Low Income Housing Tax Credits (LIHTCs)
2. 4% LIHTCs with Tax Exempt Bonds
3. No Place Like Home Program
4. Affordable Housing and Sustainable Communities (AHSC) funding
5. Veterans Housing and Homelessness Prevention (VHHP) Program
6. Other funding sources available combined with 9% or 4% LIHTCs

A limited amount of resources for gap financing is available from the Agency. Additional information on available Agency funding, Agency Multifamily Lending and Mortgage Revenue Bond Policies and 2019 Application schedule can be accessed at: <http://www.shra.org/multi-family-housing-financing-lending-resources/>

If Agency financing, other competitive financing or agreed upon analysis is anticipated, the Agency may commit to working exclusively with the developer for up to 18 months to determine feasibility. Otherwise a developer will enter into a Disposition and Development Agreement (DDA) after selection.

III. OFFERING

46th Street (near Lang Avenue & Masonry Way)

The 46th Street site is a 7.06 acre parcel located south of 47th Avenue, east of Highway 99 and east of Franklin Boulevard in the Parkway North neighborhood in the south area of unincorporated County of Sacramento. Zoned for single family and multifamily residential uses, the parcel has “split” zoning with approximately half of the site zoned RD-5 and half zoned RD-20. The surrounding residential neighborhood is made up of single-family homes and multi-family complexes. This site is located in the former Franklin Redevelopment Area.

Address	APN	Owner	Zoning	Size (Acres)	Neighborhood	Appraised Value
0 46th St (Near Lang Avenue & Masonry Way)	039-0011-013	Housing Authority (County)	Split zoning - RD-5 (east)/ RD20 (west)	7.06	Parkway North	\$500,000

Nearby amenities include:

- Less than a mile from Highway 99, providing access to regional destinations;
- Site is near the Monterey Trail District <http://montereytraildistrict.com/>, formerly known as the North Franklin District, a project of the Franklin Boulevard Business Association; and
- Nicholas Park is adjacent to this development site.

Franklin Boulevard Background

Prior to the early 1960s and the completion of Highway 99, Franklin Boulevard was an active commercial corridor that connected the City of Sacramento with outlying areas. With the primary transportation flow diverted to the new freeway, Franklin Boulevard was bypassed by new commercial development and gradually deteriorated. In 1984, the City designated Franklin Boulevard as a

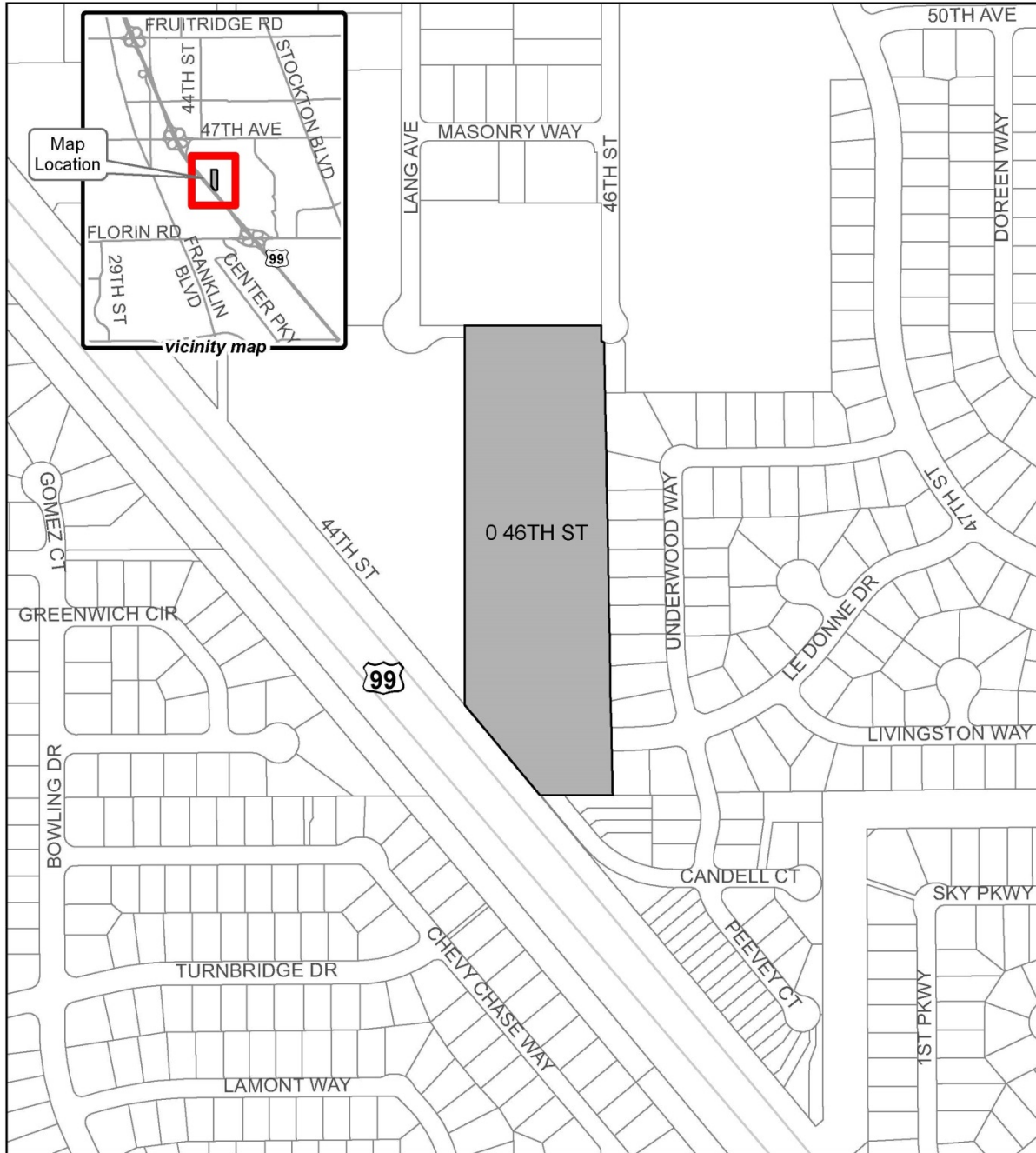
revitalization target area, making Agency funds available for a commercial district revitalization plan and assistance programs. Then in 1993 it was established as a joint City and County redevelopment project area, with the intent of eliminating the spread of blight and deterioration; promoting new and continued private sector investment; the retention and expansion of existing businesses; the elimination of environmental deficiencies; the creation and development of local job opportunities; and the preservation and rehabilitation of existing low and moderate income housing opportunities. This would be achieved by implementing a number of revitalization projects outlined in the Franklin Redevelopment Area Implementation Plan, including the Commercial Revitalization Program, streetscape improvements, public art, code enforcement activities and the development and preservation of affordable housing in the area. The 46th Street site was specifically a part of this Implementation Plan, serving as a critical component of the Housing Program. Within the plan, it was envisioned that the project would bring high quality infill development. Redevelopment was eliminated in 2012.

Funding and Property Restrictions

New development must contain affordable units for low income households. In a for sale single family development, at least 51% percent of the units must be sold at prices affordable to low-income families at 80 percent of the Area Median Income. For a multifamily rental development proposal, units need to be affordable to households earning 60% of the Area Median Income or less.



Affordable Housing Opportunity Site 46th St Site



Vacant Lot



SHRA GIS
August 16, 2016

IV. SUBMISSION PROCEDURES AND REQUIREMENTS

Contact

All questions and requests for clarification concerning the project Scope of Services are to be directed in writing to **Celia Yniguez** at cyniguez@shra.org and **Christine Weichert** at cweichert@shra.org.

All questions concerning submittal procedures, requirements and selection procedures are to be directed in writing to **Vance Kelly** at vkelly@shra.org.

Do not contact other SHRA staff, Review Committee members, or members of the Sacramento Board of Supervisors regarding this project or the selection procedures. SHRA will not be responsible for oral or other explanations or interpretations of the RFP document or related procedures.

Time and Place for Submission of Proposals

Developers interested in providing their proposals shall closely examine the specific submittal requirements and submit six (6) bound copies and one (1) electronic copy on a USB flash drive of their proposals to:

Sacramento Housing and Redevelopment Agency
RFP # 1915-VK
Attn: Procurement Services Manager– Procurement Services
801 12th Street
Sacramento, CA 95814

All proposals must be received no later than 11:00 AM on Friday, May 13th, 2019. If the submission is late or lacking in the number of copies required, the offeror may be eliminated from consideration. Postmarks, e-mails, and faxed submissions will not be accepted.

After selection and execution of the contract, all information and materials provided in each submittal received is subject to disclosure through a Public Records Request pursuant to the California Public Records Act.

RFP Timeline

March 14, 2019	RFP Issued
March 28, 2019	Questions due in writing by 11:00 AM PST
April 12, 2019	Responses to questions provided by 11:00 AM PST
May 13, 2019	Proposal due by 11:00 AM PST. Postmarks will not be accepted.

Proposal Format

Submittals are to be bound, standard sized (8.5" x 11") pages. Large format drawings may be submitted in a sealed tube or a drawing package, but will not be returned. The proposal should be clearly labeled with the name of the proposing developer and the title specifying "46th Street". Proposals are not limited in length, however conciseness is encouraged. In addition, an electronic copy of the proposal is required.

To facilitate review by the Review Committee, please submit information in accordance with the following format, identifying each item in order by the appropriate number or letter.

1. Cover Letter

A cover letter addressed to La Shelle Dozier, Executive Director, Sacramento Housing and Redevelopment Agency, signed by an authorized signatory of the proposing development team (maximum two pages). The letter should state your interest in the project and introduce your company or team and experience with similar projects. Please also include the name of the project's primary point of contact, address, phone number and email.

2. Statement of Qualifications

- a. Provide the name, address, and telephone and fax numbers of the Developer.
- b. Identify the legal entity with whom the Agency would contract, and indicate if it is a corporation, individual, partnership, joint venture, or other. If the legal entity with which the Agency would contract is different than the Parent Corporation, please indicate whether the Parent Corporation will guarantee performance or provide some other such guarantee. Please indicate names and percentage ownership for each individual member of a corporation, partnership, etc.
- c. Describe the Developer's relevant project experience in planning, financing, and construction of projects, emphasizing projects similar to the proposed development project. Provide a statement substantiating their ability to manage a team of specialists including an architecture/design team, builders, financial consultants, and marketing agents. Specifically describe what role the developer has played in previous projects from initial planning to completion (e.g., did the Developer have a "lead" or "support" role in the development team?). Address the following items:
 - Be a California licensed general contractor, or will be working with a California licensed general contractor, in good standing with the State of California Contractor Licensing Board.
 - If the Developer is a real property owner, he/she may not have any pending or prior code enforcement violations from the local jurisdictions.
 - Provide at least two client, two supplier and two subcontractor references.
 - Able to develop housing that meets design guidelines as established by the appropriate jurisdiction.
- d. Provide a list of the proposed development team, and a description of each person's qualifications.
- e. Describe the management and organizational approach, including the development team structure clearly showing lines of responsibility upon which the Agency can rely for effective and responsive action. Include the name, address, phone number, and role of key individuals

who would be involved in project implementation. Include a summary or resume indicating each person's construction or building experience.

3. Statement of Financial Capacity

The Agency is looking for evidence of the financial capacity and financial strength of the Developer, and development team in terms of ability to provide substantial equity and to raise necessary project capital for pre-development and construction.

- a. Audited Financial Statements. Include a copy of audited financial statements of the Developer prepared by an independent licensed certified public accountant in accordance with generally accepted accounting principles. If the date of the audited financial statements precedes the date of the submission by more than six (6) months, also attach un-audited financial statements no more than sixty (60) days old. Submit copies of the latest annual report, financial rating reports, or other documents indicating the Developer's financial condition.
- b. Financial Disclosure. Disclosure is required of significant control or influence with regard to the financial institution by any member of the development team (e.g. size of portfolio with institution, whether assets or liabilities, ownership interest, directorships, or direct employment).

The Agency will, to the extent of its ability under the law, endeavor to keep all financial data in strict confidence and return it to the Developer upon completion of the RFP process.

NOTE: Financial statements will be kept confidential and only reviewed by staff. They will not be shared with the Review Committee or any third parties. Please submit two (2) copies in a clearly marked envelope, with, but separate from, the bound submittals.

- c. Sources of Equity and Financing. Identify sources of equity and financing to carry project costs, such as a bank account statement, a letter of credit or a letter of intent from a financial institution (if available). This letter must have an amount of financing identified.
- d. List of Property Owned and/or Current Projects. Provide a list of property currently owned, the financing structure used for each, and the status of any properties currently under development or construction.
- e. Authorization to Release Information Form (Attachment 1). Each principal with 20 percent of more interest in the business entity must sign the Authorization to Release Information Form and include as part of the submittal.
- f. Qualifications Disclosure (Attachment 2). Each principal with 20 percent or more interest in the business entity must sign a copy of the attached applicant disclosure and include as part of the submittal.

4. Development Proposal

- A. Written Narrative. The proposal must provide a general narrative that describes the following matters for the site:
 - Description of how the design complements the surrounding neighborhood and population(s).
 - How the project proposal meets the Agency's objectives outlined on page 1.

- B. Project Design. The project design should contain sufficient detail to show the scale, scope, size, and mix of project elements through conceptual drawings. Large-scale presentation drawings may be provided, however, reductions to 8 ½" x 11" format of such drawings must be included in the report. Details for the lot to be illustrated include:
- Site Plan, access and configuration of structure on the site
 - Conceptual and schematic building plans
 - Elevation drawings
 - Include chart with the unit mix, unit quantity, type and sizes of units.
- C. Proposed Development Schedule. Include a preliminary schedule for development which addresses, at a minimum, preparation of plans, projected plan approval dates, permitting, construction commencement, construction milestones, and completion of construction.

5. Financing Plan

Submit financing plan demonstrating the financial feasibility of the proposed project. State the assumptions used in preparing the financing plan. The information should include, at a minimum, the following:

- Project development budget, including all hard and soft costs, and the proposed financing and equity structure during predevelopment, construction, and permanent phases.
- Projected schedule of sales prices and revenues based on the affordability restrictions (for single family homeownership).
- Operating budget proforma (for multifamily residential).
- Description of special conditions or requirements for proposed project not shown elsewhere in this submittal. Examples might include need for easements or licenses, related onsite or offsite improvements required, streets or utility modifications required.
- Letter of intent from a financial institution, if available.

6. Purchase Offer

Respondents must submit a purchase offer for the parcel. Fair market value is included in the site offering. Proposals below fair market value may trigger state prevailing wage.* Full 5 points for this criterion will be awarded with a purchase offer that is equal or greater than the fair market value.

***Please note that state law now requires the payment of state prevailing wages on any construction project that receives a subsidy from a government agency.** If your financing proposal does not include a full market rate purchase of SHRA property and a full market rate interest note on any proposed carry back financing, the project will be subject to the payment of state prevailing wages, and this must be reflected in your proforma.

7. Local Hire Plan

Provide a detailed plan incorporating equal opportunity and local hire initiatives. The plan should include a program for both outreach and local hire recruitment efforts including direct marketing, as well as dedicated outreach to both qualified low-income individuals and contracting firms located within the appropriate neighborhood. The plan should include details on the content and frequency of reporting on both the outreach efforts and results of the local hire plan. It should also include a minimum employment goal that includes the estimated total number of hours that will be worked in as wide a range of jobs as possible by local hires. The selected Developer will be expected to identify qualified employees and to assist in the recruitment and training of low- and very low-income residents for employment opportunities resulting from this proposal.

8. Community Outreach Strategy

Describe how community stakeholders such as adjacent property owners, residents, and neighborhood and business associations will be involved during the design and planning process of the selected site.

V. SELECTION PROCESS

Submittals will initially be reviewed after they are received to confirm that they follow the proposal format. Submissions deemed complete and competitive will be reviewed and evaluated by the Review Committee. Members of the Review Committee will be provided copies of each proposal received. Each member will evaluate each proposal individually.

1. Review of the proposals will follow the submittal deadline. The Review Committee is anticipated to rank the firms directly from the written materials. However, the Agency reserves the right to request clarifications or additional information from any or all firms. Additionally, if deemed necessary and at the sole discretion of the Review Committee, oral interviews will be scheduled at a later date and final selection made after interviews. Reference checks may be made on past projects. The Committee reserves the right to incorporate references, past performance, and previous work experiences into its evaluation.
2. Evaluation of submittals will be based upon the following criteria:

Scoring Criteria	Points
Development Team Experience	25
Developer Financial Strength and Capacity	20
Development Proposal	20
Financing Plan	20
Purchase Offer	5
Local Hiring Plan	5
Community Outreach Strategy	5

3. The Review Committee will make a recommendation to the Agency's Executive Director. The Agency will negotiate with the selected developer(s) regarding the terms for the sale and development of the lot. In the event an agreement cannot be reached with the selected applicant, at the Agency's sole discretion, the Agency shall have the right to negotiate with the next ranked respondent(s) until an agreement can be reached. If Agency financing, other competitive financing or mutually agreed upon analysis is anticipated, the Agency may commit to working exclusively with the developer for up to 18 months to determine feasibility.
4. In the interest of fair and equitable competition, the Agency retains the sole right to determine the timing, arrangement, and method of public presentations throughout the review process. Development teams are cautioned not to undertake any actions to promote or advertise their interests except in the course of Agency sponsored presentations.
5. Should any firm wish to protest the final selection, they shall have ten (10) calendar days after the date of the selection letter to submit a written protest to the Agency. The written protest shall be full and complete; specifying in detail the grounds of the protest and the facts supporting the protest or it will not be considered. Any firm who has a legitimate protest must claim to be eligible for award of the contract. Protest letters are to be sent to:

Sacramento Housing and Redevelopment Agency
Attn: Procurement Services Manager
801 12th Street, 2nd Floor
Sacramento, CA 95814

All protests shall be resolved in accordance with the Agency's Award of Contract Protest Policy, copies of which are maintained at the Agency and available upon request.

VI. FINAL DEVELOPER APPROVAL

If Agency financing, other competitive financing or agreed upon analysis is anticipated, the Agency may commit to working exclusively with the developer for up to 18 months to determine feasibility. Otherwise a developer will enter into a Disposition and Development Agreement (DDA) after selection. The DDA will be developed and forwarded to the relevant governing boards. The selected Developer will be expected to assist in presentations of the project to these boards, upon approval of the DDA, and to provide periodic and on-going updates as the project progresses at the request of SHRA.

The DDA will detail the Agency's and the development team's contractual responsibilities, the method and financing for the disposition of Agency owned property, the approved elements of the project proposal including the project design and scope, and the Agency's rights to approve changes. In addition, the DDA will include a schedule of performance, conditions regarding assignment, and procedural items such as defaults, cures, and remedies. The DDA will also address bonding and insurance requirements.

Following the selection of the preferred Developer, a minimum of one community meeting will be held with local residents and business owners to present the proposed project concepts.

Development teams are encouraged to submit comments and questions via email to the Agency, see contact information on page 5.

VII. AGENCY RIGHTS, OPTIONS, AND POLICIES

1. The Agency reserves the right to decide that one or more firms are more responsive than the others and to select after review of the submittals only.
2. The Agency reserves the right to reject any and all submissions, to waive any error or immaterial inconsistencies in the submissions, request additional information, amend the project schedule, or issue additional requirements throughout the selection process. It is the responsibility of the consultant to verify that all necessary information is submitted by the due date. SHRA shall be the sole judge of the immaterial inconsistencies, and its decision shall be final. If any submission is late or incomplete in any way, that firm will be eliminated from consideration.
3. The Agency reserves the rights to modify any portion, postpone or cancel this RFP at any time, and/or reject any and all submissions without indicating any reason. No submission documents will be returned.
4. The Agency reserves the right to reject individual team members, firms, and request substitution without indicating any reason prior to contract award.

5. The Agency highly encourages participation by local qualified firms in all aspects of consultant contracting unless the project requires unusual or highly specialized services.
6. The Agency actively encourages participation of small, minority and women owned business enterprises in all aspects of contracting.
7. No compensation is offered for any work related to this selection process. Submissions are entirely voluntary. Unless indicated otherwise in this RFP, all original documents including electronic files become the property of the Agency. If any submission is late or incomplete in any way, that team may be eliminated from consideration.
8. Materials contained in each proposal will be considered proprietary until selection. Following selection, however, the contract scope of services may be amended by the Agency and negotiated based upon ideas provided by any source. After selection, all non-proprietary information and materials provided in each submittal received is subject to disclosure through a Public Records Request pursuant to the California Public Records Act.
9. In accordance with federal and state laws, the Agency does not discriminate on the basis of race, color, national origin, gender, sexual orientation, religion, age, veteran's status or disability in the provision of services.
10. Procured consultants will not be considered Agency personnel and the Agency assumes the proposal of certain personnel to be a statement of their availability to do the work.
11. The Agency reserves the right to select a respondent for specific purposes or for any combination of specific purposes, and to defer the selection of any respondent to a time of the Agency's choosing.
12. No individual, company, firm, contractor or subcontractor may be listed as debarred or suspended by the System for Award Management (SAM) or Division of Labor Standards Enforcement (DLSE).
13. Notice of Intent to Award will be sent to all proposers who submit a timely proposal.

ATTACHMENT 1: AUTHORIZATION TO RELEASE INFORMATION FORM

Authorization to Release Information

- A. I hereby authorize the Sacramento Housing and Redevelopment Agency (Agency) to make written inquiry relating to any information necessary to determine my eligibility for financing assistance.
- B. Any information obtained by Sacramento Housing and Redevelopment Agency will be used solely for the purpose of assisting me in obtaining financing offered by the Agency.
- C. I understand that the information provided will be kept strictly confidential and that this authorization will be in effect for 12 months from the following date.

Date:

Signature of Applicant

Name of Applicant (Printed)

Social Security Number or TIN

Date of Birth

Residence Address:

Previous Residence Address:

Street

Street

City, State Zip

City, State Zip

Name of Business or Corporation

Business Address:

Street

City State Zip

ATTACHMENT 2: QUALIFICATIONS DISCLOSURE

Qualifications Disclosure

Please respond to each question. If a question does not apply to the discipline of the firm, insert "na". The firm may be requested to submit documentation to verify or explain its responses to these questions during the proposal review process. This form must be signed by an officer or principal of each firm that is part of the proposer's team.

The term "Affiliate" means a parent or subsidiary corporation and an organization (such as a partnership, limited liability company, or professional corporation) that is currently, or in the past five years has been, related to the Firm by means of either (a) financial support; (b) the same or substantially similar: general, managing or limited partners, members, investors, or shareholders; or (c) by other means of control. The term "Officer" means a member of the Firm's or an Affiliate's governing board. The term "Principal" encompasses all persons and entities with at least 10% ownership interest in the Firm or an Affiliate.

Operating and Financial Capacity – Current Status:	Yes	No
1. DOES THE FIRM POSSESS VALID AND CURRENT BUSINESS AND PROFESSIONAL LICENSES REQUIRED TO DEVELOP AND OPERATE THE PROJECT PROPOSED?		
2. DOES THE FIRM POSSESS A GENERAL LIABILITY INSURANCE POLICY WITH A MINIMUM POLICY LIMIT OF AT LEAST \$5 MILLION PER OCCURANCE AND WORKERS COMPENSATION INSURANCE COVERAGE AS REQUIRED BY CALIFORNIA LAW?		
3. CAN THE FIRM OBTAIN PERFORMANCE AND PAYMENT BONDS FROM AN ADMITTED SURETY EQUAL TO THE ESTIMATED PROJECT CONSTRUCTION COST?		
4. DOES THE FIRM HAVE RETAINED EARNINGS OR DOES ONE OF ITS PRINCIPALS HAVE A NET WORTH EQUAL TO AT LEAST 10% OF THE ESTIMATED TOTAL PROJECT COST?		
Background Information – Within the Last 10 Years:	Yes	No
LITIGATION		
5. HAS THE FIRM, AFFILIATE, OR ANY OFFICER OR PRINCIPAL BEEN INVESTIGATED, ARRESTED, CONVICTED, FOUND LIABLE, ENTERED INTO A SETTLEMENT AGREEMENT, OR PAID A PENALTY FOR FRAUD, PERJURY, FORGERY, THEFT, EMBEZZLEMENT, FALSE CLAIMS, MATERIAL MISREPRESENTATION, OR ANY SIMILAR CRIME OR CIVIL ACTION?		
6. IS THE FIRM OR AFFILIATE CURRENTLY A PARTY TO ANY CIVIL ACTION, THE OUTCOME OF WHICH COULD MATERIALLY AND ADVERSELY AFFECT ITS FINANCIAL CONDITION?		
PENALTIES AND CITATIONS		
7. HAS THE FIRM OR AFFILIATE BEEN DEBARRED, SUSPENDED, OR OTHERWISE BEEN DEEMED INELIGIBLE TO BID ON CONTRACTS BY ANY LOCAL, STATE OR FEDERAL AGENCY?		
8. HAS THE CONTRACTORS LICENSE BOARD ISSUED A FINAL DECISION OR ORDER AGAINST THE FIRM OR AFFILIATE RELATED TO A CITATION OR DISCIPLINARY ACTION?		
9. HAS THE DEPT OF INDUSTRIAL RELATIONS (DIR) FOUND THAT THE FIRM OR AFFILIATE VIOLATED ANY PROVISION OF THE LABOR CODE, A DIR REGULATION, OR A WAGE AND HOUR ORDER, OR ENTERED INTO A SETTLEMENT AGREEMENT RELATED TO SUCH VIOLATIONS?		

10. HAS CAL OSHA CITED AND ASSESSED PENALTIES AGAINST THE FIRM OR AFFILIATE FOR ANY SERIOUS, WILFUL OR REPEAT VIOLATIONS OF HEALTH AND SAFETY STANDARDS?		
11. HAS THE FIRM OR AFFILIATE BEEN ISSUED A CODE ENFORCEMENT CITATION RELATED TO ANY BUILDING OWNED OR OPERATED BY THE FIRM OR AFFILIATE FOR VIOLATION OF HEALTH AND SAFETY REGULATIONS OR BUILDING CODES?		
FINANCIAL		
12. HAS THE FIRM OR AFFILIATE FILED FOR, OR BEEN THE SUBJECT OF THE INVOLUNTARY INITIATION OF, BANKRUPTCY, REORGANIZATION, INSOLVENCY, DISSOLUTION OR RECEIVERSHIP PROTECTION?		
13. HAS THE FIRM OR AFFILIATE DEFAULTED ON ANY LOAN OR HAS ANY PROPERTY OWNED BY THE FIRM OR AFFILIATE BEEN FORECLOSED AGAINST?		
14. HAS A SURETY COMPLETED A CONTRACT OR MADE PAYMENT ON BEHALF OF THE FIRM OR AFFILIATE DUE ITS DEFAULT OF A CONTRACTUAL OBLIGATION?		

FIRM AND AFFILIATE INFORMATION

Please provide the following information:

1. Firm Organization status:

- CA Corporation
 _____ Corporation
 Professional Corporation
 General Partnership
 Limited Partnership
 Limited Liability Company
 Sole Proprietor

2. How many years has the Firm been in business? _____ Years

3. Firm Contractor’s License No. (if applicable): _____

4. Is the Firm a subsidiary, parent, holding company or Affiliate (as defined above) of another firm?

_____ Yes _____ No

If Yes, please list below the names and relationship of each Affiliate firm:

5. Has the ownership of any of the Principals in the Firm changed by more than 25% during the last three years? _____ Yes _____ No

If Yes, please provide a brief explanation below or in an attachment:

6. Does the Firm or an Affiliate currently own any property in Sacramento County, other than its business office location(s) or the personal residences of Officers or Principals of the Firm or Affiliate? _____ Yes _____ No

If Yes, please list the addresses below or in an attachment:

I hereby certify that the foregoing responses are true and correct:

Signature

Date

Name and Title

Phone Number

Firm Name

Address
